

RISK ADVISORY SERVICES

THE JAPANESE SARBANES-OXLEY ACT(J-SOX)

J-SOX Advisory Service

BDO Thailand supports businesses in meeting J-SOX requirements through practical compliance solutions, strong internal controls, and effective risk management.



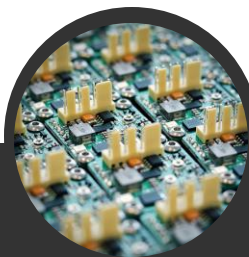
Readiness & Scope

- ▶ Evaluate Entity-Level Controls (ELC) and Financial Reporting Process (FSRP)
- ▶ Identify gaps against J-SOX requirements
- ▶ Develop a roadmap for compliance with J-SOX
- ▶ Evaluate of key controls at affiliates according to equity-method accounting.



Internal Control Documentations

- ▶ Develop Process Mapping and explanations
- ▶ Develop Risk & Control Matrix (RCM)
- ▶ Review and assess policies & procedures management



IT General Controls

- ▶ Evaluate IT governance, risk, and compliance
- ▶ Review logical access control
- ▶ Assess IT program change management controls
- ▶ Assess system development controls
- ▶ Review IT operations controls
- ▶ Perform ITGC testing and support J-SOX assessments
- ▶ Provide remediation support
- ▶ Emerging areas such as cybersecurity, data and automation, and outsourcing



Control Testing & Evaluation

- ▶ Evaluate Process Understanding and key controls
- ▶ Test Design Effectiveness
- ▶ Test Operating Effectiveness
- ▶ Evaluation of Test Result
- ▶ Action and remediation plan
- ▶ Conclusion & Reporting
- ▶ Develop Exception log & remediation tracking



J-SOX Training

- ▶ Customized training and workshops.
- ▶ Knowledge sharing program.

BDO's J-SOX Team

The right people to support you.



Noel Ashpole
Partner
noel.ashpole@bdo.th

[Read more](#)



Netinat Rattanapanya
Director
netinat.rattanapanya@bdo.th

[Read more](#)



Satoshi Tsuchiya
Senior Manager - Japan desk
satoshi.tsuchiya@bdo.th

[Read more](#)



Natthina Rungrueng
Assistant Manager
Natthina.Rungrueng@bdo.th



BDO Advisory Services Company Limited

42nd floor, Exchange tower,
388 Sukhumvit Road, Klongtoey District,
Bangkok 10110,
Thailand

Tel: +66 (0) 2 636 0354

www.bdo.th

This proposal is subject to global independence and risk management review and approval.

The proposal contained in this document is made by BDO Advisory Services Company Limited ("BDO") and is in all respects subject to the negotiation, agreement and signing of a specific contract. It contains information that is commercially sensitive to BDO, which is being disclosed to you in confidence and is not to be disclosed to any third party without the written consent of BDO.

BDO Advisory Services Company Limited, a Thailand limited company, is a member of BDO International Limited, a UK company limited by guarantee, and forms part of the international BDO network of independent member firms.

BDO is the brand name for the BDO network and for each of the BDO Member Firms.

©2026 BDO Advisory Services Company Limited

